

# Sections of line bundles on moduli spaces of sheaves on surfaces and strange duality

Lothar Göttsche  
based on

- (1) joint work with H.Nakajima, K.Yoshioka,
- (2) help by D.Zagier

Vancouver, Feb. 2, 2009

Everything is over  $\mathbb{C}$ .

$X$  simply conn. proj. algebraic surface,  $H$  ample on  $X$

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$H$ -semistable:  $\frac{\chi(\mathcal{F} \otimes H^{\otimes n})}{\text{rk}(\mathcal{F})} \leq \frac{\chi(\mathcal{E} \otimes H^{\otimes n})}{\text{rk}(\mathcal{E})}$  for all  $0 \neq \mathcal{F} \subset \mathcal{E}$ ,  $n \gg 0$

$H$ -slope stable:  $\frac{c_1(\mathcal{F})H}{\text{rk}(\mathcal{F})} < \frac{c_1(\mathcal{E})H}{\text{rk}(\mathcal{E})}$

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For simplicity assume universal sheaf  $\mathcal{E}$  on  $X \times M$

i.e.  $\mathcal{E}|_{X \times [E]} = E$  for all  $[E] \in M$

$K^0(X)$  := Grothendieck group of vector bundles

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### Definition

Let  $v \in K^0(X)$  with  $\chi(X, c \otimes v) = 0$  (write  $v \in c^\perp$ )

The *determinant bundle* for  $v$  is

$$\lambda(v) := \det(Rp_*(\mathcal{E} \otimes q^*(v)))^{-1} \in \text{Pic}(M)$$

$\lambda : c^\perp \rightarrow \text{Pic}(M)$  is homomorphism.

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Let  $L \in \text{Pic}(X)$ . Assume  $Lc_1$  even. Put

$$v(L) := \mathcal{O}_X - L^{-1} + k\mathcal{O}_{pt} \in c^\perp$$

The Donaldson line bundle for  $L$  is  $\tilde{L} := \lambda(v(L))$ .



## Definition

The  $K$ -theoretic Donaldson invariant for  $L$  is  $\chi(M, \tilde{L})$ .

Generating function:

$$\sum_{c_2} \chi(M_X^H(c_1, c_2), \tilde{L}) \Lambda^d \in \mathbb{Z}[[\Lambda]].$$

$$d = 4c_2 - c_1^2 - 3 = \text{expdim}(M)$$

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Standard Donaldson invariant

$$\int_M c_1(\tilde{L})^d = \lim_{n \rightarrow \infty} \frac{d!}{n^d} \chi(M, n\tilde{L})$$

by Riemann-Roch

( $K$ -th Don. invariants are refinement of standard Don. inv.).

$M_X^H(c_1, c_2)$  depends on  $H$ :

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- Relate result to Le Potier's strange duality conjecture

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Let  $C_X \subset H^2(X, \mathbb{R})$  be the ample cone.  
 $\xi \in H^2(X, \mathbb{Z})$  defines wall of type  $(c_1, c_2)$  if

- 1  $\xi \equiv c_1 \pmod{2H^2(X, \mathbb{Z})}$
- 2  $4c_2 - c_1^2 + \xi^2 \geq 0$
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**Chambers**=connected components of  $C_X \setminus$  walls  
 $M_X^H(c_1, c_2)$  and invariants constant on chambers, change when  
 $H$  crosses wall (i.e.  $H_- \rightarrow H_+$  with  $H_- \cdot \xi < 0 < H_+ \cdot \xi$ )

**Definition**

Let  $\xi$  define a wall of type  $(c_1, c_2)$ . Put  $d := 4c_2 - c_1^2 - 3$

The wallcrossing term is

$$\Delta_{\xi, d}^X(L) := \chi(M_X^{H+}(c_1, c_2), \tilde{L}) - \chi(M_X^{H-}(c_1, c_2), \tilde{L}).$$

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First aim: give a generating function for the wallcrossing terms in terms of elliptic functions.

**Theta functions:**

$$\theta_{ab}(h) = \sum_{n \equiv a \pmod{2}} q^{n^2} (i^b y)^n, \quad a, b \in \{0, 1\}, \quad y = e^{h/2}, \quad q = e^{\pi i \tau / 4}$$

$$\theta_{ab} := \theta_{ab}(0), \quad u := -\frac{\theta_{00}^2}{\theta_{10}^2} - \frac{\theta_{10}^2}{\theta_{00}^2}, \quad \Lambda := \frac{\theta_{11}(h)}{\theta_{01}(h)}$$

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**Theorem**

Write

$$q^{-\xi^2} y^{\xi(L-K_X)} \left( \frac{\theta_{01}(h)}{\theta_{01}} \right)^{(L-K_X)^2} \theta_{01}^{\sigma(X)} q \frac{du}{dq} \frac{dh}{d\Lambda} = \sum_{d \in \mathbb{Z}_{\geq 0}} f_d(q) \Lambda^d.$$

$$f_d(q) \in \mathbb{Q}((q))$$

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Then

$$\Delta_{\xi, d}^X(L) := \chi(M_X^{H+}(c_1, c_2), \tilde{L}) - \chi(M_X^{H-}(c_1, c_2), \tilde{L}) = \pm \text{Coeff}_{q^0} f_d(q)$$

Generating function

$$\Delta_{\xi}^X(L) := \sum_d \Delta_{\xi, d}^X(L) \Lambda^d = \sum_d \text{Coeff}_{q^0} f_d(q) \Lambda^d \in \mathbb{Z}[[\Lambda]]$$

**Remark**

*(bad news)  $\sum_n \chi(M_X^H(c_1, n), \tilde{L}) \Lambda^d$  has wallcrossing for all  $W^\xi$  with  $\xi \in c_1 + 2H^2(X, \mathbb{Z})$ : Walls are everywhere dense in  $C_X$ .*



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## Corollary

*(good news) Let  $\xi$  class of type  $(c_1, c_2)$*

- 1  $\Delta_\xi^X(L) \in \mathbb{Z}[\Lambda]$  (a polynomial!)
- 2 If  $|\xi(L - K_X)| + 1 \leq -\xi^2$  then  $\Delta_\xi^X(L) = 0$   
"Most walls do not contribute at all".

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## Corollary

$\sum_n \chi(M_X^H(c_1, n), \tilde{L}) \Lambda^d$  independent of  $H$  up to adding a polynomial.

Main ingredient in proof of wallcrossing formula:  
Nekrasov partition function.

**Instanton moduli space:**

$$M(n) = \{(E, \phi) \mid E \text{ rk } 2, \text{ sheaf on } \mathbb{P}^2 \text{ with } c_2(E) = n, \phi : E|_{l_\infty} \simeq \mathcal{O}^{\oplus 2}\}$$

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**Torus action:**  $\mathbb{C}^* \times \mathbb{C}^*$  acts on  $(\mathbb{P}^2, l_\infty)$ :

$$(t_1, t_2)(z_0 : z_1 : z_2) = (z_0 : t_1 z_1 : t_2 z_2).$$

Extra  $\mathbb{C}^*$  acts by  $s(E, \phi) = (E, \text{diag}(s^{-1}, s) \circ \phi)$ .

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**Fixpoints:**  $M(n)^{(\mathbb{C}^*)^3} = \{(I_{Z_1} \oplus I_{Z_2}), id) \mid Z_i \in \text{Hilb}^{n_i}(\mathbb{A}^2, 0) \text{ monomial}\}$

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**Character:** Let  $V$  vector space with  $(\mathbb{C}^*)^3$  action.  $\implies V = \bigoplus_i V_{M_i}$   
 $V_{M_i}$  eigenspace with eigenvalue  $M_i$  Laurent monomial in  $t_1, t_2, s$ .

The Character of  $V$  is  $ch(V) := \sum_i \dim(V_{M_i}) M_i$

The Nekrasov partition function is given by

$$Z^{inst}(\epsilon_1, \epsilon_2, \mathbf{a}, \Lambda) := \sum_{n \geq 0} \left( \frac{\Lambda^4}{t_1 t_2} \right)^n ch(H^0(M(n), \mathcal{O}))|_{t_1=e^{\epsilon_1}, t_2=e^{\epsilon_2}, s=e^{\mathbf{a}}}$$

$Z = Z^{inst} Z^{pert}$ , where  $Z^{pert}$  is explicit function of  $\epsilon_1, \epsilon_2, \mathbf{a}, \Lambda$ .

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**Nekrasov Conjecture** (Nekrasov-Okounkov, Nakajima-Yoshioka, Braverman-Etingof):

- 1  $F(\epsilon_1, \epsilon_2, \mathbf{a}, \Lambda) = \epsilon_1 \epsilon_2 \log(Z)$ ,  $F$  regular at  $\epsilon_1, \epsilon_2 = 0$
- 2  $F_0(\mathbf{a}, \Lambda) = F|_{\epsilon_1=\epsilon_2=0}$  can be expressed in terms of elliptic functions.



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Wallcrossing: replace sheaves in extensions

$$0 \rightarrow I_{Z_1}((c_1 + \xi)/2) \rightarrow E \rightarrow I_{Z_2}((c_1 - \xi)/2) \rightarrow 0, \quad Z_i \in \text{Hilb}^{n_i}(X)$$

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$\implies$  change from  $M_X^{H^-}(c_1, c_2)$  to  $M_X^{H^+}(c_1, c_2)$  by series of flips with centers  $\text{Hilb}^{n_1}(X) \times \text{Hilb}^{n_2}(X)$ .

Compute  $\Delta_{\xi, d}^X(L)$  as inters. numbers on the  $\text{Hilb}^{n_1}(X) \times \text{Hilb}^{n_2}(X)$ .

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Reduce to case  $X$  is toric. Use localization to compute intersection number in terms of weights at fixpoints.

Get product of Nekrasov partition functions over the fixpoints of the action on  $X$  with  $\epsilon_1, \epsilon_2, a$  replaced by weights of the action.

Let  $X$  1-conn. alg. surf., let  $c, v \in K^0(X)$  with  $\chi(c \otimes v) = 0$ .  
 Assume  $H^2(E \otimes F) = 0$  for all  $[E] \in M(c), [F] \in M(v)$ .

$$\Theta := \{(E, F) \in M(c) \times M(v) \mid h^0(E \otimes F) \neq 0\}$$

Assume  $\Theta$  is zero set of  $\sigma \in H^0(M(c) \times M(v), \lambda(v) \boxtimes \lambda(c))$   
 $\implies$  Duality morphism  $D : H^0(M(c), \lambda(v))^\vee \rightarrow H^0(M(v), \lambda(c))$

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### Conjecture/Question

- 1 Is  $D : H^0(M(c), \lambda(v))^\vee \rightarrow H^0(M(v), \lambda(c))$  an isomorphism?  
(strong strange duality)
- 2 Is  $\chi(M(c), \lambda(v)) = \chi(M(v), \lambda(c))$ ? (weak strange duality)

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For curves: rank/level duality conj. of Beauville, Donagi-Tu.  
Weak version is Corollary of Verlinde formula.  
Strong version proved by Belkale, Marian-Oprea.



For simplicity  $c_1 = 0$ .

$c(n) = \mathcal{O}^{\oplus 2} - n\mathcal{O}_{pt}$  class of  $E \in M_X^H(0, n)$

Let  $L \in \text{Pic}(X)$ ,  $v(L) = \mathcal{O}_X - L^{-1} + k\mathcal{O}_{pt} \in c(n)^\perp$

Put  $\theta := \lambda(\mathcal{O}_X)$ ,  $\eta := \lambda(-\mathcal{O}_{pt}) \in \text{Pic}(M(v(L)))$

$\implies \lambda(c(n)) = \theta^{\otimes 2} \otimes \eta^{\otimes n}$ .

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$\implies \lambda(c(n)) = \theta^{\otimes 2} \otimes \eta^{\otimes n}$ .

Strange duality:  $\chi(M_X^H(0, n), \tilde{L}) = \chi(M(v(L)), \lambda(c(n)))$

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**Remark**

*There is natural morphism  $\pi : M(v(L)) \rightarrow |L|, \mathcal{F} \mapsto \text{supp}(\mathcal{F})$*

*General fibre over  $[C]$  is  $\text{Pic}^{g-1}(C)$ , ( $g = g(C)$ ).*

*Restriction of  $\theta$  to  $\text{Pic}^{g-1}(C)$  is the theta bundle,  $\eta = \pi^*(\mathcal{O}(1))$ .*

Assuming strange duality, get

$$\begin{aligned}\chi(M_X^H(0, \mathfrak{c}_2), \tilde{L}) &= \chi(M(v(L)), \theta^{\otimes 2} \otimes \pi^*(\mathcal{O}(\mathfrak{c}_2))) \\ &= \chi(|L|, \pi_*(\theta^{\otimes 2}) \otimes \mathcal{O}(\mathfrak{c}_2))\end{aligned}$$

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Over general  $C \in |L|$  have

$$\text{rank}(\pi_*\theta^2) = H^0(\text{Pic}^{g-1}(C), 2\theta) = 2^g$$

Assume best of all worlds:  $\pi_*\theta^2 = \bigoplus_{i=1}^{2^g} \mathcal{O}(-a_i)$ , all

$a_i < \chi(X, L)$ . Then

$$\sum_{c_2 \geq 0} \chi(M_X^H(0, c_2), \tilde{L}) t^{c_2} =$$

$$\sum_{c_2 \geq 0} H^0(|L|, \bigoplus_{i=1}^{2^g} \mathcal{O}(-a_i + c_2)) t^{c_2} = \frac{\sum_{i=1}^{2^g} t^{a_i}}{(1-t)^{\dim|L|+1}}.$$

Let  $X$  be a rational surface. Possibly after blowing up  $X$  there is an  $H_0$  (on boundary of ample cone) with  $\chi(M_X^{H_0}(c_1, c_2), \tilde{L}) = 0$  for all  $c_2$ .  $\implies$  Everything is determined by wallcrossing:

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**Problem:**  $H_0$  is not ample. The sum will be infinite (infinitely many summands nonzero). Need arguments about elliptic functions/modular forms to carry it out.

Let  $X$  rational ruled surface.  $F$  fibre. Let  $G \in \frac{1}{2}H^2(X, \mathbb{Z})$  with  $G^2 = 0$ ,  $FG = 1$ . Let  $L \in \text{Pic}(X)$ . Write  $L = nF + sG$ ,  $s \in \mathbb{Z}$ ,  $n \in \frac{1}{2}\mathbb{Z}$   
 (e.g.  $\mathbb{P}^1 \times \mathbb{P}^1$ :  $F, G$  fibres of both proj,  $\widehat{\mathbb{P}}^2$ :  $F = H - E$ ,  $G = (H + E)/2$ )



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- ③ Explicit formulas for  $L = nF + sG$ ,  $s \leq 7$ .

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### Theorem

$$\sum_n \chi(M_{\widehat{X}}^H(c_1, n), \widetilde{L}) t^n = \sum_n \chi(M_X^H(c_1, n), \widetilde{L}) t^n$$
$$\sum_n \chi(M_{\widehat{X}}^H(c_1, n), \widetilde{L} - E) t^n = (1 - t) \sum_n \chi(M_X^H(c_1, n), \widetilde{L}) t^n$$

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One also needs higher order blowup formulas for  $\widetilde{L} - mE$ .  
These involve an analogue of the point class.

## Theorem

$$1 + \sum_{c_2 > 0} \chi(M_{\mathbb{P}^2}(0, c_2), \widetilde{nH}) t^{c_2} = \begin{cases} \frac{1}{(1-t)^3} & n = 1 \\ \frac{1}{(1-t)^6} & n = 2 \\ \frac{1+t^2}{(1-t)^{10}} & n = 3 \\ \frac{1+6t^2+t^3}{(1-t)^{15}} & n = 4 \\ \frac{1+21t^2+20t^3+21t^4+t^6}{(1-t)^{21}} & n = 5 \end{cases}$$

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(Danila determined other side of strange duality for  $H, 2H, 3H$  and checked strange duality in this case for small  $c_2$ .)

For rational surfaces the generating functions are rational:

### Theorem

Let  $X$  rational surface,  $H$  ample,  $L, c_1 \in \text{Pic}(X)$  with  $Lc_1$  even.  
There are (computable)  $P_{L,c_1}^X(t) \in \mathbb{Q}[t]$ ,  $l \in \mathbb{Z}$  s.th.

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### Conjecture

If  $h^i(X, L) = 0$  for  $i > 0$  and general  $C \in |L|$  is nonsing. genus  $g$ , then  $l = \chi(X, L)$  and  $P_{L,c_1}^X(t)$  has nonnegative coefficients and  $P_{L,c_1}^X(1) = 2^g$ .

(True in all cases I checked)

Again  $X$  rational surface  $H$  ample on  $X$ ,  $L \in \text{Pic}(X)$

Write

$$\chi_{X,c_1}^H(L, \Lambda) := \sum_{n \geq 0} \chi(M_X^H(c_1, n), \tilde{L}) \Lambda^n \in \mathbb{Z}[\Lambda, 1/(1 - \Lambda^4)]$$

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What is the explanation of the Le Potier dual statement?

Fourier-Mukai transform for  $M(v) \rightarrow |L|$ ?

Example proof:  $X = \mathbb{P}^1 \times \mathbb{P}^1$ ,  $L = nF$ . To show

$$\chi(nF) := 1 + \sum_{c_2} \chi(M_X^H(F, c_2), \widetilde{nF}) \Lambda^{4c_2} = \frac{1}{(1 - \Lambda^4)^{n+1}}.$$

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$$Q = \frac{\theta_{01}(h)}{\theta_{01}}, y = e^{h/2}, \Lambda = \frac{\theta_{11}(h)}{\theta_{01}(h)}.$$

$$\text{Enough to show: } \text{Coeff}_{q^0} \left[ \frac{1}{y^2 - y^{-2}} ((1 - \Lambda^4)Q^{4n+8} - Q^{4n+4}) \times R \right] = 0$$

$$\text{Turns out to follow from } \theta_{00}^4 = \theta_{10}^4 + \theta_{01}^4 \quad [\text{Jacobi}]$$

Know  $Q^4 \in \mathbb{Q}[[q^2\Lambda^2, q^4]]$ . Will show

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For  $y = \pm 1$  this is  $0 = 0$ . For  $y = \pm i$  it is

$$\theta_{00}^4 = \theta_{10}^4 + \theta_{01}^4 \quad [\text{Jacobi}]$$

General formula, involves analogue of point class.

$U \in \widetilde{K}^0(M_X^H(c_1, c_2))$  universal.  $U = (p_{2!}((\mathcal{E} \cdot \mathcal{E}^\vee) \cdot p_1^*(\mathcal{O}_{\{x\}})))??$

### Theorem

There are universal polynomials  $P_m(x, t)$ , such that

$$\sum_n \chi(M_{\widetilde{X}}^H(c_1, n), \widetilde{L} - mE)t^n = \sum_n \chi(M_X^H(c_1, n), \widetilde{L} \otimes P_m(U, t))t^n$$

$$\chi(M, \widetilde{L} \otimes U^k t^n) := \chi(M, \widetilde{L} \otimes U^{\otimes k})t^n$$

Let  $M := 2 \frac{\theta_{01}^4}{\theta_{10}^2 \theta_{00}^2} \frac{\theta_{10}(h)^2 \theta_{00}^2(h)}{\theta_{01}^4(h)}$ ,  $\Lambda = \frac{\theta_{11}(h)}{\theta_{01}(h)}$ . Then

$$\frac{\theta_{01}((m+1)h) \theta_{01}^{(m+1)^2-1}}{\theta_{01}(h)^{(m+1)^2}} = P_m(M^2, \Lambda^4)$$

$$P_0 = 1, P_1 = (1-t), P_2 = (1-t)^2 - tx, P_3 = (1-t)^4 - tx^2$$